

REMARKS

Claims 1-33 are pending. Claims 1-33 are rejected. Previous Claims 10-34 have been renumbered 9-33 due to a numbering error. Replacement Drawings are submitted herewith for Figures 1A-7. Claims 13-33 are amended herein. No new matter has been added as a result of the claims amendments.

Drawing Objections

Figures 1A, 4B and 5-7 are objected to as containing handwritten reference characters. Corrected drawings are hereby submitted in the attached Replacement Sheets. As such, Applicants respectfully request that the corresponding objection be withdrawn.

Additionally, the drawings are objected to because reference characters 204, 207, 208, and 303-305 were not mentioned in the specification. In response, Applicants have amended the specification herein to mention reference character 204. Applicants have further amended Figures 2 and 3 (attached hereto as replacement sheets) to no longer include reference characters 207, 208, and 303-305. Therefore, Applicants respectfully request withdrawal of the corresponding objection.

35 U.S.C. 102 Rejections

Claims 1-8, 12-19 and 23-30

The above mentioned Office Action states that Claims 1-8, 12-19 and 23-30 are rejected under 35 U.S.C. 102(e) as being anticipated by McLean et al. ("McLean" U.S. 2003/0018506). The Applicants have reviewed the cited references and respectfully

asserts that embodiments of the present invention as recited in Claims 1-8, 12-19, and 23-30 are not anticipated by McLean.

Applicants respectfully direct Examiner to independent Claim 1, which recites as follows (emphasis added):

accessing at least one *stream of events*;

Independent Claims 12 and 23 recites similar limitations. Claims 2-8 that depend from Claim 1, Claims 13-19 that depend from Claim 12, and Claims 24-30 that depend from Claim 23 provide further recitations of this limitation.

To the extent that McLean may mention “streams,” Applicants respectfully submit that the streams referred to in McLean are very different from the stream(s) claimed. For example, as Applicants understand McLean, the streams referred to therein are used as a classification system (e.g., value stream, future value stream, historical value stream, financial value stream, etc.) for data that has been received, processed, and organized by database 104 of Figure 1A of McLean (*see* McLean, par. 0078).

Furthermore, McLean defines its streams as “an *aggregation* of financial and non-financial *benefits* flowing to the business and arising from a minimum set of activities that are necessary to give rise to the benefits.” McLean, par. 0078.

In contrast, embodiments as recited in Claim 1 access a stream of *events*, as described in the specification (*see* Specification, page 10, lines 1-2). As described, an event can be one of a number of changes in a value in a transactional system that must be captured for the purpose of monitoring the value (e.g., purchase orders, expense items, etc.). Accordingly, Applicants respectfully submit that the “benefits” described in McLean do not correlate to the events as recited. The “benefits” of McLean are stored

and mined, whereas “events” are captured as they come in. Therefore, Applicants respectfully submit that McLean fails to teach a “stream of events,” as recited in independent Claims 1, 12, and 23.

Since McLean fails to teach at least one element of Claims 1, 12, and 23, Applicants respectfully submit that Claims 1, 12, and 23 overcome the rejection under 35 U.S.C. § 102(e) and are thus in condition for allowance. In addition, Applicants respectfully submit that Claims 2-8 that depend from Claim 1, Claims 13-19 that depend from Claim 12, and Claim 24-30 that depend from Claim 23 are also in condition for allowance is being dependent on allowable base claims.

35 U.S.C. 103 Rejections

The above mentioned Office Action states that Claims 9-10, 20-21, and 31-32 are rejected under 35 U.S.C. 103(a) as being unpatentable over McLean et al. (“McLean” U.S. 2003/0018506) in further view of Zwilling et al. (“Zwilling” U.S. 2004/0267828). The Applicants have reviewed the cited references and respectfully submit that the present invention as recited in Claims 9-10, 20-21, and 31-32 is not anticipated nor rendered obvious by McLean or Zwilling, alone or in combination. Claims 9-10, 20-21 and 31-32 depend from Claim 1, 12, or 23 and recite additional limitations. As presented above, Applicants respectfully assert that Claims 1, 12 and 23 are allowable over McLean. In addition, Applicants respectfully assert that Zwilling fails to overcome the shortcomings of McLean because Zwilling fails to teach or suggest “event streams,” as recited in the claimed invention.

Therefore, Applicants respectfully submit that McLean and Zwilling, alone or in combination, do not show or suggest the present claimed invention as recited in independents Claim 1, 12 and 23. Claims 9-10, 20-21, and 31-32 depend from independent Claims 1, 12, and 23. Therefore, Applicants respectfully submit that the basis for rejecting Claims 9-10, 20-21, and 31-32 under 35 U.S.C. 103(a) is traversed and that these claims are in condition for allowance.

Claims 11, 22, and 33

The above mentioned Office Action states that Claims 11, 22, and 33 are rejected under 35 U.S.C. 103(a) as being unpatentable over McLean et al. ("McLean" U.S. 2003/0018506) in further view of Homayoun Yousefi'zadeh ("Homayoun" U.S. 2004/0030739). The Applicants have reviewed the cited references and respectfully submit that the present invention as recited in Claims 11, 22, and 33 is not anticipated nor rendered obvious by McLean or Homayoun, alone or in combination. Claims 11, 22, and 33 depend from Claim 1, 12, or 23 and recite additional limitations. As presented above, Applicants respectfully assert that McLean does not show or suggest the limitation of Claims 1, 12 or 23. In addition, Applicants respectfully assert that Homayoun fails to overcome the shortcomings of McLean because Homayoun fails to teach or suggest "event streams," as recited in the claimed invention.

Therefore, Applicants respectfully submit that McLean and Homayoun, alone or in combination, do not show or suggest the present claimed invention as recited in independents Claim 11, 22, and 33. Claims 11, 22, and 33 depend from independent

Claims 1, 12, and 23. Therefore, Applicants respectfully submits that the basis for rejecting Claims 11, 22, and 33 under 35 U.S.C. 103(a) is traversed and that these claims are in condition for allowance.

CONCLUSIONS

In light of the above remarks, Applicants respectfully request reconsideration of the rejected claims.

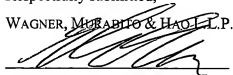
Based on the arguments presented above, Applicants respectfully assert that Claims 1-30 overcome the rejections of record and, therefore, Applicants respectfully solicit allowance of these claims.

The Examiner is invited to contact Applicants' undersigned representative if the Examiner believes such action would expedite resolution of the present Application.

Respectfully submitted,

WAGNER, MITRABITO & HAO L.L.P.

Dated: 9/26, 2006


Glenn D. Barnes
Registration No. 42,293

Two North Market Street
Third Floor
San Jose, CA 95113
(408) 938-9060